Stress Estimates for South American Shallow and Deep Earthquakes¹

MAX WYSS

Seismological Laboratory, California Institute of Technology Pasadena, California 91109

Amplitude spectra of long-period mantle and body waves were used to obtain seismic moments for 37 earthquakes associated with the South American trench system. Corresponding seismic energies were estimated from the spectral densities of short-period P waves and also from the Gutenberg energy-magnitude relation. The apparent average stress (efficiency times average stress) in the source region was obtained from the ratio of energy to moment. The apparent average stress is a lower bound for the actual average stress. Near the surface a mean value for the apparent average stress is 18 bars. The mean value for depths between 45 and 150 km is 270 bars. Around 600 km depth the mean value is very similar to that at the surface, 21 bars. Differences in apparent average stress most likely reflect differences in strength of the material in the source region. The pattern of strength versus depth can be explained by ocean floor consumption. As lithospheric material plunges underneath oceanic trenches, it reaches higher pressures but is heated very slowly and thus will have relatively high strength until the temperature rises enough to weaken it. Comparison of the apparent average stress with estimates of the stress drop indicates an upper bound of about 0.1 for the seismic efficiency of deep and intermediate earthquakes.

Introduction

Recent applications of dislocation theory to arthquakes have led to the possibility of estimating absolute stress from the spectra of ismic waves. The amplitude of long-period *aves is proportional to the seismic moment which, in turn, is proportional to the product the average dislocation times the area of the filocation [Maruyama, 1963; Haskell, 1964; 46, 1966]. The amplitude of short-period *aves, however, is more closely related to the rgy released at the source which, in turn, is riated to the average stress. If the energy is ided by the moment, the unknown product * average dislocation times dislocation area threels out and the average stress acting in source region is obtained [Aki, 1966; Brune, Wyss and Brune, 1968].

$$\frac{E}{M_0} = \frac{\langle \sigma \rangle A \langle u \rangle}{\mu A \langle u \rangle} = \frac{\langle \sigma \rangle}{\mu} = \langle \epsilon \rangle \tag{1}$$

*Contribution 1629, Division of Geological Sci-California Institute of Technology, Pasa-

right © 1970 by the American Geophysical Union.

where

E is the elastic energy.

 M_0 is the seismic moment.

 $\langle \sigma \rangle = \frac{\sigma_1 + \sigma_2}{2}$ is the average stress (average of the initial and the final stress).

A is the fault plane area, dislocation area.

 $\langle u \rangle$ is the mean relative displacement on A, average dislocation.

 μ is the shear modulus.

 $\langle \epsilon \rangle$ is the average shear strain.

The first average stress estimated in this way was obtained for the Niigata earthquake by Aki [1966], who pointed out the usefulness of the method for the study of deep earthquakes.

The total released energy is, however, not accessible to measurements. A lower bound for it can be obtained by determining the energy radiated to great distances in the form of seismic waves. Some energy will be dissipated as heat in the source region, and some of the high-frequency seismic radiation will be dissipated completely before it reaches teleseismic distances. The seismic energy E, contained in frequencies between 0 and 1 hertz measured at teleseismic distances can be defined as the pro-

duct of the seismic efficiency factor η and the total energy produced by the dislocation

$$E_s(1) = \eta E \tag{2}$$

Dividing the seismic energy by the moment gives a quantity defined as the apparent average strain.

$$E_s/M_0 = \eta \langle \epsilon \rangle = \eta \langle \sigma \rangle / \mu \tag{3}$$

The apparent shear stress of the source region is obtained by multiplying the apparent shear strain by the shear modulus. The parts of the mantle where earthquakes occur must be different in composition and temperatures from the rest of the mantle. However, even for severe differences in these properties, the shear modulus would not change more than about 10%. To compute the stress it is an adequate approximation to use the shear moduli for corresponding depths in the mantle given by Bullen [1963]. The apparent average strains and stresses are a lower bound for the average strains and stresses.

Most of the seismically radiated energy is contained in the short-period waves. For most earthquakes under magnitude 7, the energy represented by waves with periods longer than 5 sec is negligible in comparison with the energy associated with waves between 1 and 2 sec period. The seismic energy of an earthquake is a strong function of the amplitude at the shortperiod end of the seismic spectrum. At long periods the spectral amplitudes of an earthquake tend to reach a constant value. The amplitude level at the long-period end of the spectrum is determined by the seismic moment. The ratio of high- to low-frequency spectral amplitudes is a direct measure of the apparent strain in the source region. The apparent strain is the basic quantity determined in this paper. Even though it is often more convenient to think in terms of apparent stress, one should remember that the basic measurements yield apparent strain. It should be emphasized at this point that the word 'strain' (stress) in this paper always means nonhydrostatic strain (stress).

Studies of seismic spectra may thus lead to estimates of absolute stress, provided that other parameters influencing the seismic spectrum can be properly evaluated. The correction factors for attenuation, instrument response, effects of the free surface, radiation pattern, and geo-

metrical spreading were applied. The most uncertain parameter is the seismic efficiency. Berckhemer and Jacob [1968] have fitted seismic spectra to theoretical spectra of P waves, deducing the fault area and stress drop under the assumption of a rupture velocity. By comparison of the apparent stress with the stress drop one can obtain a largest possible efficiency. since the initial stress had to be equal to or larger than the stress drop. The ratios of apparent stress to stress drop are approximately the same for earthquakes at intermediate and great depths. The fact that the maximal efficiency does not vary between intermediate and great depths could suggest that the efficiency also does not change with depth. The changes of apparent stress would then reflect directly changes of stress. The maximum of the apparent stresses occurs at depths of approximately 100 km. In the major parts of the South American deep seismic zone the mean value of 10 earthquakes between 45 and 150 km is 270 bars. This value is an order of magnitude larger than the values at 0 and 600 km depth. If the apparent stresses are divided by the maximal seismic efficiency of 0.2, the stresses at 100 km depth average approximately 3 kb. The fault dimensions corresponding to these high-stress earthquakes are extremely small, 2 to 10 km for earthquakes with body wave magnitude 74 Although it has not been possible to demon strate conclusively that this result is not due a variation of efficiency with depth, most of change of apparent average stress with dep appears to be due to change of average street with depth. If the variation of stress with depth is real, it can be explained by the pressure temperature environment to which a descendent slab of lithosphere, as proposed by Isacks & [1968], is exposed.

DATA

Fault plane solutions. For an accurate mate of the seismic moment and the seismic radiated energy, the fault plane solution of earthquake has to be known. Thirty-seven earthquakes associated with the South American associated with the South American considerable associated on the basis of tion (Figure 1) and size, as well as of the quotient of existing fault plane solutions. The source the fault plane solution is given in the column of Table 1.

Moments. The equivalent doublemic moment, as defined in the dislocation of faulting [Maruyama, 1963], was from spectral densities observed at Where it was possible, the moment mined from long-period mantle waves from long-period P waves. For twaves, the far-field displacement for couple and the excitation functions Ben-Menahem and Harkrider [1964] to obtain seismic moment from Ray Love waves having periods between 70 sec. Geometrical spreading and at were accounted for, the latter by usin values given by Ben-Menahem [1965]

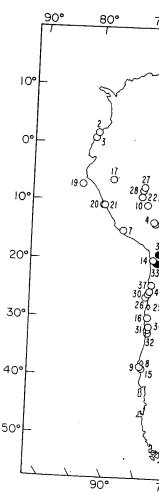


Fig. 1. Map of South America showing the earthquakes outside main seismic zo low apparent stresses.

The most unnic efficiency. we fitted seisa of P waves. ss drop under city. By comvith the stress ible efficiency. e equal to or ratios of apapproximately ermediate and maximal effiermediate and the efficiency The changes of flect directly ! the apparent oximately 100 uth American of 10 earthis 270 bars. le larger than h. If the apmaximal seiss at 100 km :b. The fault e high-stress 2 to 10 km nagnitude 7.0. e to demonis not due to , most of the s with depth verage stress is with depth

ccurate estie seismically
lution of an
seven earthh American
asis of locaf the quality
he source of
in the last

he pressurea descending

Isacks et al.

Moments. The equivalent double-couple seismic moment, as defined in the dislocation theory of faulting [Maruyama, 1963], was obtained from spectral densities observed at Pasadena. Where it was possible, the moment was determined from long-period mantle waves as well as from long-period P waves. For the mantle waves, the far-field displacement for a double couple and the excitation functions given by Ben-Menahem and Harkrider [1964] were used to obtain seismic moment from Rayleigh and Love waves having periods between 70 and 150 sec. Geometrical spreading and attenuation were accounted for, the latter by using the Q values given by Ben-Menahem [1965]. For the

P waves the far-field displacement for a double couple given by Keilis-Borok [1960] and Ben-Menahem et al. [1965] was used. The geometrical spreading and attenuation were accounted for by using a program described by Julian and Anderson [1968]. The results of Ben-Menahem et al. [1965] were used to compensate for the effects of the crust and the free surface. P waves having periods around 20 sec were used. In Table 2 the moments obtained from mantle waves are given as $M_o(surf)$ and the moments obtained from P waves are given as $M_o(P)$. The period of the surface wave on which the calculation was based is also given in Table 2.

For the 17 shocks where the moment was ob-

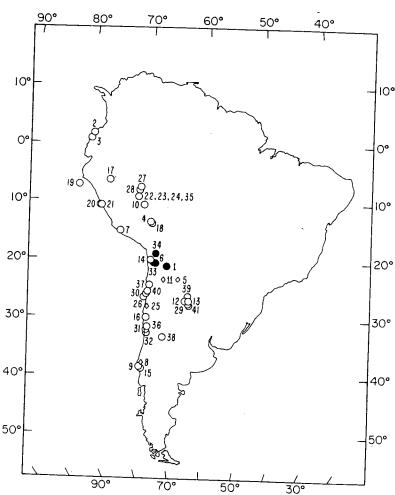


Fig. 1. Map of South America showing locations of earthquakes studied. Diamonds indicate earthquakes outside main seismic zone; full circles indicate earthquakes with exceptionally low apparent stresses.

Table 1. Earthquakes Selected for Study

Event	d	Dat m	-	h	Tin m		Latitude S	Longitude W	Depth, km	Fault Mechani Source
1	29	11	. 57	22	19	41	21.0	67.0	100	•
2	01	02	58	16	10	15	-1.7	79.3	190	(3)
3	14	04		21	32	28	-1.0	79.5 79.5	. 0	(3)
4	26	07	58	17	37	13	13.5	69.5	0 630	(3)
5	12	05	59	09	46	55	23.2	65.0		(3)
6	14	06	59	00	12	02	20.4	69.0	26 83	(3)
7	15	01	60	09	30	19	15.0	75.0	70	(3)
8	22	05	60	10	32	43	37.5	73.0	0	(3)
9	- 20	06	60	02	01	09	38.2	72.8	0	(7)
10	31	08		01	48	39	10.4	70.7	605	(3)
11	03	08	62	08	56	12	23.2	67.5	71	(3)
12	29	09		15	17	48	27.0	63.6	575	(1) (6)
13	08	12		21	27	18	27.0	63.0	620	(6)
14	29	12		10	41	04	20.0	69.9	46	(1)
15	05	02		20	39	22	38.4	73.2	41	(2)
16	10	03		10	51	48	29.9	71.2	70	(2)
17	13	04		02	20	58	6.2	76.5	125	(2)
18	15	08		17	25	06	13.8	69.3	543	(2)
19	29	08	63	15	30	31	7.1	81.6	23	(2)
20	17	09	63	05	54	34	10.6	78.2	61	(2) §
21	24	09	63	16	30	16	10.6	78.0	80	(2)
22	09	11	63	21	15	30	9.0	71.5	575	(2)
23	10	11	63	01	00	39	9.2	71.5	600	(2)
24	11	11	63	19	54	09	9.1	71.4	585	(5)
25	25	07	64	19	31	07	27.9	70.9	26	(6)
$\frac{26}{27}$	18	08	64	04	44	58	26.4	71.5	8	(6)
28	28	11	64	16	41	33	7.7	71.2	626	(5)
28 29	28	11	64	16	49	30	8.0	71.4	655	(5)
30	09	12	64	13	35	42	27.5	63.2	580	(6)
31	23 22	02 03	65	22	11	50	25.7	70.5	40	(6)
32	28	03	65	22	56	26	31.9	71.5	80	(6)
33	12	06	65 65	16	33	16	32.4	71.3	72	(6)
34	20	08	65	18	50	12	20.5	69.3	102	(6)
35	03	11	65	09	42	49	18.9	69.0	128	(6)
36	10	04	66	01	39	03	9.1	71.4	590	(4)
37	27	07	66	16	36	14	31.5	71.2	64	(6)
38	10	11	66	04	48	59	24.2	70.3	35	(6)
39	20	12	66	03	02	32	31.9	68.4	120	(6)
40	28	12	66	12	26	55 0 7	26.1	63.2	589	(6)
41	09	09	67	08	18	07	25.5	70.7	47	(6)
				10	06	44	27.7	63.1	578	(6)

^{*} Key to fault mechanism source: (1) Stauder and Bollinger [1964]; (2) Stauder and Bollinger [1964]; (3) Wickens and Hodgson [1967]; (4) Khattri [1969]; (5) Earthquakes, for which the moment was obtained by Berckhemer and Jacob [1968]; (6) Stauder [1970].

tained by both surface waves and body waves, the values agree within a factor of 3 for all but two shocks; for them the agreement is within a factor of 4. This agreement is considered good. The moment determined by surface waves is considered more reliable because it is not as greatly affected by local crustal properties as the shorter-period body waves. If it was avail-

able, the moment determined from sur waves was used for the strain and stress de minations.

Energy. The estimation of energy is less liable than the determination of moment, major part of the observed seismic energe associated with the body waves. The amplified these waves are subject to strong attention.

and effects of the local crustal struct source and at the receiver. This unce amplitude is very important because is a function of the amplitude squared previous studies involving seismic en Gutenberg energy-magnitude formula used [Gutenberg and Richter, 1956], 5.8 + 2.4m. However, the accurace formula for deep earthquakes has ascertained. In this study P-wave energing the source of the study of the study

TABLE 2. Seismic Mo:

		IAD	LE Z. Sei	smic Mo:
			M (aux	
€500 24 -	h,	T(surf)	$M_0(\text{surf})$	
Even		sec		- 45111
	.v AIII	sec	10^{26}	16
	100			
1 2	190	120	51.	78.
:: · · · —	0	100	$^{2.6}$	7.0
3	0	100	1.8	1.6
4	630	200	28.	
5	26	100	0.96	1.9
6 7	83	100	5.9	11.4
	70	100	2.0	8.0
8	0	100	1.7	
9	0	100	3.8	
10	605	• • •	• • •	8.3
11	71	100	2.3	2.3
12	575	• • •		1.5
13	620	200	12.	3.8
14	46	100	0.3	0.6
15	41	100	0.64	•••
16	70	30	0.03	
17	125	100	0.26	1.0
18	543	200	140.	1.0
19	23	100	5.2	
20	61	85	0.11	• • •
21	80	85	2.0	3.0
22	575	240	7.4	8.3
23 24	600			0.32*
24 25	585	• • •		0.003
हुन 2 6	26	100	0.53	0.74
₹ 0	8	70	3.7	0.74
4(No	626		•••	0.097
27 28 29 20 30 31	655	• • •		0.079
es No	580	100	0.3	0.87
	40	70	4.2	3.0
ja ST.	80	70	0.063	9.0
b	72	100	5.4	6.6
NO 14	102	100	0.1	0.0
ik	128	100	1.6	• • •
Ž.	590		•••	1.2
-	64	100	• • •	0.08
13 14 15 16 17 18 19 19 19 19 19 19 19 19 19 19 19 19 19	35	70	0.1	0.00
D	120	70	0.15	
	589	• • •		0.38
i.	47	100	23.	18.
6. 6.	578	•••	• • • •	0.87
Berck	1			

Berckhemer and Jacob [1968].

Depth, km	Fault Mechanism Source*
190	(2)
190	(3) (3)
0	(3)
630	(3)
26	(3)
83	(3)
70	(3)
0	_(7)
0	(3)
605	(3)
71 575	(1)
620	(6) (6)
46	(1)
41	(2)
70	(2)
125	(2)
543	(2)
23	(2)
61	(2)
80 575	(2)
600	(2) (2)
585	(5)
26	(6)
8	(6)
626	(5)
655	(5)
580	(6)
40	(6)
80 72	(6)
102	(6)
128	(6) (6)
590	(2) (2) (5) (6) (6) (5) (6) (6) (6) (6) (6) (6) (6) (6) (6)
64	(6)
35	(6)
120	(6)
589	/·/ E
47	(6)
578	(6)

der and Bollinger [1966]; he moment was obtained

ermined from surface strain and stress deter-

ion of energy is less renation of moment. The rved seismic energy waves. The amplitude ct to strong attenuation

and effects of the local crustal structure at the source and at the receiver. This uncertainty in amplitude is very important because the energy is a function of the amplitude squared. In many previous studies involving seismic energy, the Gutenberg energy-magnitude formula has been used [Gutenberg and Richter, 1956], $\log E = 5.8 + 2.4m$. However, the accuracy of this formula for deep earthquakes has not been ascertained. In this study P-wave energies were

estimated from spectral analysis of seismograms, and it was concluded that the Gutenberg-Richter energy-magnitude relation provides a good estimate, even for deep shocks.

The energies determined in this study are based on the energies carried by the *P* waves. The amplitudes of the *S* waves are generally about 3 times larger than those of the *P* waves [Haskell, 1964; Archambeau, 1964]. Since the energy in seismic waves is a function of the

TABLE 2. Seismic Moments, Apparent Strains, and Apparent Stresses

Event	h, km	T(surf),	$M_0(\text{surf})$, dyne cm 10^{26}	$M_0(P)$, dyne cm 10^{26}	m_{b}	$E_G(m_b), \ ext{dyne cm} \ 10^{21}$	$10E_{p}(1), \ ext{dyne cm} \ 10^{21}$	$\eta ar{\epsilon}$ 10^{-5}	ησ, bars	Quality
1	190	120	51.	78.	7.5	630.				
2	0	100	2.6	7.0	6.9	23.	• • •	12.4	91.	B
3	0	100	1.8	1.6	6.8	23. 13.	• • •	8.8	26.	\boldsymbol{B}
4	630	200	28.		6.9	25.	• • •	7.2	22.	\boldsymbol{B}
5	26	100	0.96	1.9	7.0	23. 40.	• • •	0.9	12.	\boldsymbol{B}
6	83	100	5.9	11.4	7.15	40. 91.		40.	120.	\boldsymbol{B}
7	70	100	2.0	8.0	6.9		70.	15.	102.	\boldsymbol{B}
8	0	100	1.7		7.2	25.	11.	12.5	81.	\boldsymbol{B}
9	0	100	3.8		6.9	120.	• • •	71.	210.	\boldsymbol{B}
10	605		•••	8.3	6.9	25.	•••	6.6	20.	\boldsymbol{B}
11	71	100	2.3	$\frac{3.3}{2.3}$	$\frac{0.9}{7.25}$	25.	36.	3.	39.	\boldsymbol{B}
12	575	• • •	• • •	$\frac{2.3}{1.5}$		160.	• • •	70.	450.	\boldsymbol{A}
13	620	200	12.	$\frac{1.5}{3.8}$	6.5	2.5	2.4	1.7	22.	B
14	46	100	0.3	0.6	6.6	4.3	3.4	0.5	7.	\boldsymbol{A}
15	41	100	0.64	0.6	6.7	7.6	• • • •	25 .	170.	\overline{A}
16	70	30	0.04		6.5	2.5	• • •	3.9	24.	\overline{A}
17	125	100	$0.03 \\ 0.26$	1.0	6.3	0.8	0.7	26.6	173.	\vec{B}
18	543	200	140.	1.0	7.0	40.	44 .	154.	1050.	Ā
19	23	100	5.2	• • •	7.35	280.	100.	2.	24.	\overline{A}
20	61	85		• • •	7.0	40.		7.7	23.	A
21	80	85	0.11	•••	6.7	8.0	• • •	73.	470.	Ā
22	575	240	$\frac{2.0}{7.4}$	3.0	7.0	40 .	14.	20.	132.	A
23	600		7.4	8.3	7.0	40.		5.4	70.	A
24	585		• • • •	0.32*	6.3	0.8		2.5	33.	Ā
25	26	100		0.003*	5.4	0.0058	• • •	1.9	25.	B
26	8		0.53	0.74	6.7	7.6	• • •	14.3	43.	$\stackrel{B}{A}$
27	626	70	3.7	• • •	6.9	23.		6.2	19.	A.
28	655		•••	0.097*	5.6	0.017		0.18	2.	B
29	580		• • •	0.079*	5.6	0.017		0.22	3.	В
30	40	100	0.3	0.87	6.2	0.48	0.06	0.55	3. 7.	$\stackrel{B}{A}$
31		70	4.2	3.0	6.75	10.	• • •	2.4	15.	A A
32	80	70	0.063	• • •	6.4	1.5		23.	155.	
33	72	100	5.4	6.6	7.2	120.		23. 22.	135. 145.	В
34	102	100	0.1	• • •	6.0	0.16	0.013	1.6	145.	A
35	128	100	1.6	• • •	6.3	0.52	0.010	0.33	$\overset{11.}{2.}$	В
38	590	•••	• • •	1.2	6.6	4.4		3.6		A
37	64	100	• • •	0.08	6.0	0.16		$\frac{3.6}{2.0}$	48.	A
38	35	70	0.1	•••	6.1	0.10			13.	В
39	120	70	0.15		6.4	1.5		$^{2.7}$	8.	В
40	589	• • •	• • •	0.38	5.85	0.069		10.	67.	В
41	47	100	23.	18.	7.45	48.		0.18	2.	\boldsymbol{B}
-4	578	• • •		0.87	6.1	40. 0.27		$\begin{array}{c} 2.1 \\ 0.31 \end{array}$	13. 4.	$oldsymbol{A}$

Berckhemer and Jacob [1968].

amplitude squared, the energy carried by the S waves is about 10 times larger than the P-wave energy. The attenuation of the P waves is less severe than that of S waves. Therefore the indirect estimate using the P-wave energy was preferred to a direct estimate of the S-wave energy. The spectral density of the P wave at 1 sec period was estimated from the records for the short-period vertical instruments at Pasadena by the formula

$$u = 2A(Tn/2\pi)$$

where u is the amplitude spectral density, Ais the ground amplitude, T is the period, and nis the number of periods with amplitude A. The spectral densities between 100 and 5 sec period were also available from Fourier analysis of the Pasadena long-period records. The highfrequency waves account for most of the seismically radiated energy. For most earthquakes the energy associated with waves of lower frequencies than 0.5 hertz is much smaller than the energy traveling in a 1-hertz wave. For this reason it was considered to be a good approximation to assume that the amplitude spectral density was constant from ∞ to 1 sec period and equal to the spectral density at 1 sec period. If longer-period waves made an unusually large contribution, their energy was also accounted for. On this basis the lower bound for seismic energy called $E_{\alpha}(1)$ is defined. All the energy that was produced at the source but was not accounted for in the described estimate is taken care of by the seismic efficiency factor η .

The attenuation and geometrical spreading were accounted for in the same way as the moment determination. For attenuation correction the Q model MM8 described by Anderson et al. [1965] was used. The radiation pattern was also taken into account, and Wu's [1966] procedure was used in integrating around the source on a unit sphere. The energy was estimated from the spectral density as follows:

$$E_{p} = \frac{1}{15\pi^{2}} \int_{0}^{\omega_{0}} \frac{\rho_{s}}{A^{2}(ih)} \frac{u_{s}^{2}(\omega) D^{2}(\omega)}{A \operatorname{mp}^{2} B^{2}(\omega)} \omega^{2} d\omega \quad (4)$$

where ρ_{\bullet} is the density at the surface, u_{\bullet} is the spectral density observed at the surface, D is the correction for attenuation, ω is frequency, A(ih) is the correction for the radiation pattern, $(1/15\pi^2)$ is the factor resulting from the

integration over a unit sphere, B is the correction for the effect of the crust and free surface, and $Amp = u_*(\rho_*/I_t)^{\nu_2}$, where $I_t = power$ per unit solid angle on a focal unit sphere. For frequencies between 0 and 1 hertz the integral in (4) simplifies to

$$E_{p}(1) = \frac{1.4}{T^{3}} \left[\frac{D(\omega_{0})u(\omega_{0})}{A(ih) \operatorname{Amp} B(\omega_{0})} \right]^{2}$$
 (3)

The energy carried by the surface waves is automatically included in the body wave energy, since the integration was performed on a unix sphere around the source. $E_s = 10E_p(1)$ is taken as the estimate for the lower bound of seismically radiated energy. This value can be compared in Table 2 with $E_a(m_b)$, the energy estimated by the energy-magnitude relation [Gutenberg and Richter, 1956].

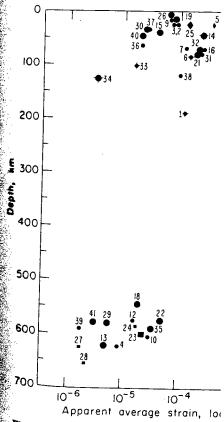
The magnitudes were based on amplitude corrected for the radiation pattern of the shortperiod P waves recorded at Pasadena and Uppsala. The agreement between the energies estimated by the two methods is fair except for the two smallest shocks. This discrepancy considered in a later section. For shallow events $E_{p}(1)$ could not be obtained because the 1-P wave did not reach the distant station of observation. The $E_p(1)$ determination was dependent of the assumptions on which the mass nitude determination and the energy-magnitude relation are based, and it allowed a check the Gutenberg energy for shocks between 70 said 650 km depth. Both energy estimates are based on the P waves of the same seismographs. agreement of the results obtained by the methods indicates that there is no gross system atic error with depth in the Gutenberg energy determination for the region between 70 650 km. The Gutenberg energy estimate 🕶 therefore used for all strain determinations.

Both energy estimates are only a lower bound for the total energy, which could be an order of magnitude larger at all depths, as Wu [199] suggests on the basis of his analyses of the waves. In this case all the estimated strains stresses would be an order of magnitude larger waves are more concerned, however, with variations of apparent strain with depth with its absolute value. To obtain a better mate for the latter, we need a better endetermination. This improvement must from local recordings of short-period waves

propagated from the hypocenter up the

Discussion

Apparent strain and stress. The strain and apparent stress values for quakes studied in this paper are given 2, columns 9 and 10. The apparent stapparent stress for four additional ear for which Berckhemer and Jacob [19 the moment were computed and inc Table 2. The last column in the table quality of the data for each earthqualetter A indicates good data, and the indicates less reliable results because a poor fault plane solution or a small



depth. Squares indicate values obtained themer and Jacob [1968], crosses over the mark events located near 18°S. The correspond to the first column in Table 2. Large symbols indicate reliable so ality A), small symbols less reliable so being B). Diamonds as in Figure 1.

a unit sphere, B is the correct of the crust and free surface, $(\rho_*/I_t)^{1/2}$, where $I_t = \text{power}$ gle on a focal unit sphere. For each 0 and 1 hertz the integral to

$$\left[\frac{D(\omega_0)u(\omega_0)}{A(ih) \operatorname{Amp} B(\omega_0)}\right]^2 \tag{5}$$

d by the surface waves is autod in the body wave energy, ion was performed on a unit he source. $E_{\bullet} = 10E_{\rho}(1)$ is mate for the lower bound of ed energy. This value can be le 2 with $E_{\sigma}(m_{b})$, the energy e energy-magnitude relation lichter, 1956].

s were based on amplitudes radiation pattern of the shortrecorded at Pasadena and reement between the energies two methods is fair except for shocks. This discrepancy is er section. For shallow events be obtained because the 1-sec reach the distant station of $E_{p}(1)$ determination was inssumptions on which the magon and the energy-magnitude , and it allowed a check on rgy for shocks between 70 and th energy estimates are based the same seismographs. The results obtained by the two that there is no gross system oth in the Gutenberg energy the region between 70 and enberg energy estimate ws all strain determinations. nates are only a lower bound y, which could be an order at all depths, as Wu [1966] sis of his analyses of the all the estimated strains and n order of magnitude larger cerned, however, with ent strain with depth that lue. To obtain a better ester r, we need a better energ s improvement must 's of short-period waves

propagated from the hypocenter up the high Q slab.

Discussion

Apparent strain and stress. The apparent strain and apparent stress values for all earth-quakes studied in this paper are given in Table 2, columns 9 and 10. The apparent strain and apparent stress for four additional earthquakes for which Berckhemer and Jacob [1968] give the moment were computed and included in Table 2. The last column in the table gives the quality of the data for each earthquake. The letter A indicates good data, and the letter B indicates less reliable results because of either a poor fault plane solution or a small size of

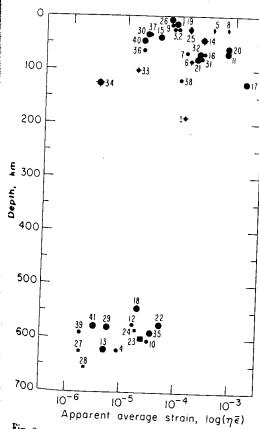


Fig. 2. Apparent average strain as a function of depth. Squares indicate values obtained from strkhemer and Jacob [1968], crosses over full irrles mark events located near 18°S. The numcrorrespond to the first column in Tables 1 2. Large symbols indicate reliable solutions quality A), small symbols less reliable solutions quality B). Diamonds as in Figure 1.

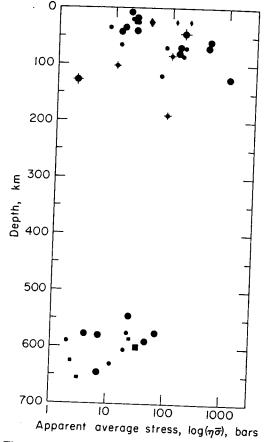


Fig. 3. Apparent average stress as a function of depth. Same symbols as in Figure 2.

the earthquake, so that the moment determination may be less accurate. The apparent strain and apparent stress values for all 41 earthquakes in Table 2 are plotted versus depth in Figures 2 and 3. Full circles with crosses indicate earthquakes located around 20°S, a portion of the seismic zone characterized by a complete lack of deep earthquakes.

An estimate of the errors that may be connected with points plotted in Figures 2 and 3 must be based on the accuracy with which the depth, the moment, and the energy are determined. The depths are taken from the USCGS and the IIS hypocentral locations and pP readings at Pasadena. For shallow earthquakes, if the fault dimensions exceed the hypocentral depth, the value for depth is more or less meaningless and the scatter is considerable. On the basis of agreement between moment obtained by

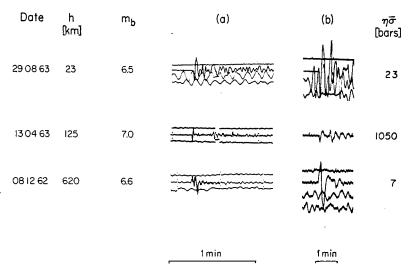


Fig. 4. Comparison of the vertical components of P waves for earthquakes at different depths recorded at Pasadena by (a) LP-Benioff and (b) LP Press-Ewing instruments.

surface and body wave analyses, the moment is believed to be accurate to within a factor of 2.5. The lower bound estimated for the seismic energy may be considerably different from the total seismic energy. This difference, however, may be accounted for by the seismic efficiency factor. The accuracy we are concerned with here is only the accuracy in estimating a consistent lower bound, since we are mainly concerned with comparing earthquakes. The agreement between the Gutenberg energy estimate and the estimate obtained by spectral density, is taken as an estimate of the relative accuracy of the energy values—on the average within a factor of 3. In the worst case the apparent strain values of a single shock could therefore be wrong by an order of magnitude. The mean error, however, is smaller. The apparent strain (stress) versus depth pattern in Figures 2 and 3 is believed to be significant.

To evaluate the changes of apparent strain (stress) with depth in a typical portion of the South American deep seismic zone, we must exclude the earthquakes with hypocenters outside this zone (diamond symbols) and those located in the peculiar region around 20°S (full circle with cross). Then we see that from the surface downward the pattern is one of rapid increase of apparent stress in the first 100 km. Around that depth a mean value of 270 bars and a largest value of about 1 kb is reached.

With greater depth the apparent stress seems to decrease again. Unfortunately, there are no data available for intermediate depths because no earthquakes occur in that part of the South American seismic zone. From limited data os other trench systems it appears that the decrease occurs gradually. At great depths the apparent stresses reach a minimum with values comparable to the ones at the free surfaces (~20 bars).

For a qualitative evaluation of evidence for the relatively high apparent stresses around 100 km depth, examples of long-period seismograms are shown in Figure 4. The hypocenters were at depths of 0, 125, and 600 km, respectively. One can observe by inspection that the earthquake with h = 125 km radiated strong high-frequency and weak low-frequency wave compared with both the shallow and desp examples. The energy estimate is strongly pendent on the high-frequency content, and the moment estimate is dependent on the low frequency content. The ratio of high- to low frequency spectral amplitude, energy to moment is a direct measure of the apparent strain (stress) in the source region. The apparent stress for the earthquake at a depth of 125 km is approximately 70 times higher than the ap parent stresses in the source regions for other two examples.

The diamond-shaped symbols in Figures 1.

and 3 indicate shallow earthquakes lo considerable distance landward from the The hypocenters of these events do not the general zone of seismic activity. The earthquakes located in the main uncone have low apparent stresses, suggest the breaks occur along well-developed at tively well-lubricated fault zones. earthquakes located outside the main zomond symbols) have high apparent which may suggest that they occur al well-developed fault surfaces.

Figure 5 represents another way of at the same data, the seismic momer plotted as a function of the body wave tude. The line through the data is the teal curve obtained by Brune and King This line is still a very good fit. As was out by Wyss and Brune [1968], data falling above this line correspond to eart of low stresses in their source region a points below this line indicate high The division is very clear. The deep eart fall above the line, and the shocks o mediate depth fall below it.

Seismic efficiency and source dim Since the apparent stress is a product seismic efficiency and the average stress source region, it is a question which of factors is responsible for the variation apparent stress with depth.

It is impossible to determine the seisr siency without additional measurement source region, such as measurements dissipated energy, of the total elastically 1 mergy, or of the source dimensions. If b pparent stress $\eta(\sigma)$ and the stress droj vailable, an upper bound for the effici may be estimated. To obtain the stress necessary to be able to estimate the dimensions or the displacements associate dislocation. Berkhemer and Jacob ave obtained the stress drops of six merican shocks by assuming a rupture at the source. Their estimate of stres was based on a comparison of measured tode spectral density of the P wave w pectral density of propagating rupture 1 The total stress, for which the apparent a lower bound, must be at least as la stress drop. From a comparison of emer and Jacob's results for stress dro) η̄σ [bars]

23

1050

7

arthquakes at different -Ewing instruments.

the apparent stress seems Infortunately, there are no atermediate depths because r in that part of the South one. From limited data on it appears that the decrease great depths the apparent inimum with values comat the free surfaces (~20)

evaluation of evidence for apparent stresses around iples of long-period seisme Figure 4. The hypocenters), 125, and 600 km, respecerve by inspection that = 125 km radiated strong weak low-frequency wave th the shallow and deep gy estimate is strongly 🥞 igh-frequency content, te is dependent on the low The ratio of high- to log mplitude, energy to moment re of the apparent strain urce region. The apparen quake at a depth of 125 💆) times higher than the the source regions for

ped symbols in Figures 1

and 3 indicate shallow earthquakes located at considerable distance landward from the trench. The hypocenters of these events do not fall into the general zone of seismic activity. The shallow earthquakes located in the main underthrust zone have low apparent stresses, suggesting that the breaks occur along well-developed and relatively well-lubricated fault zones. Shallow earthquakes located outside the main zone (diamond symbols) have high apparent stresses, which may suggest that they occur along less well-developed fault surfaces.

Figure 5 represents another way of looking at the same data, the seismic moment being plotted as a function of the body wave magnitude. The line through the data is the theoretical curve obtained by Brune and King [1967]. This line is still a very good fit. As was pointed out by Wyss and Brune [1968], data points falling above this line correspond to earthquakes of low stresses in their source region and data points below this line indicate high stresses. The division is very clear. The deep earthquakes fall above the line, and the shocks of intermediate depth fall below it.

Seismic efficiency and source dimensions. Since the apparent stress is a product of the seismic efficiency and the average stress in the source region, it is a question which of the two factors is responsible for the variation of the apparent stress with depth.

It is impossible to determine the seismic efficiency without additional measurement in the source region, such as measurements of the dissipated energy, of the total elastically released energy, or of the source dimensions. If both the **Apparent** stress $\eta\langle\sigma\rangle$ and the stress drop τ are **available**, an upper bound for the efficiency η may be estimated. To obtain the stress drop it necessary to be able to estimate the source dimensions or the displacements associated with the dislocation. Berkhemer and Jacob [1968] have obtained the stress drops of six South American shocks by assuming a rupture velocty at the source. Their estimate of stress drop was based on a comparison of measured ampli**ude** spectral density of the P wave with the **Pectral** density of propagating rupture models. The total stress, for which the apparent stress a lower bound, must be at least as large as the stress drop. From a comparison of Berckemer and Jacob's results for stress drop with

the apparent stress, we can obtain an approximate upper bound for the efficiency.

Since $\sigma_2 \geq 0$ and $\tau \leq \sigma_1$,

$$\eta \langle \sigma \rangle = \eta \frac{\sigma_1 + \sigma_2}{2} \ge \eta \frac{\sigma_1}{2} \ge \eta \frac{\tau}{2}$$

$$\eta \le \frac{2\eta \langle \sigma \rangle}{\tau} = \eta_{\text{max}}$$
(6)

The maximum of the seismic efficiency is equal to the actual efficiency if the stress drops to zero. When melting occurs at the dislocation surface, the stress may indeed drop almost to zero, and the maximum efficiency, particularly for large shocks, may be not too different from the actual efficiency.

Berckhemer and Jacob determined stress drops for two models, one assuming a rupture

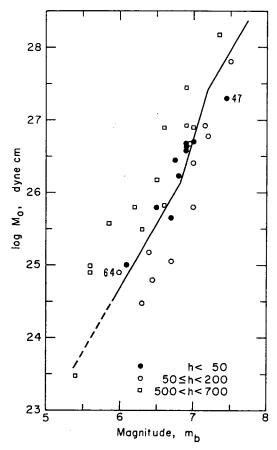


Fig. 5. Seismic moment as a function of body wave magnitude. Numbers near two events indicate depth in kilometers.

TABLE 3. Maximum Efficiency and Dislocation Area

	-	Stress Drop		Maximum Efficiency			Dislocation Area	
Event	h	τ_1 , bars	$ au_2$, bars	ηι max	η _{2 max}	m_b	F_1 , km ²	F ₂ , km ²
			. ~~~~	71 max	74 max			MIII.
17	125	24,000	8,500	0.09	0.25	7.0	3.	6.
21	80	6,900	2,450	0.04	0.11	7.0	20.	40.
22	575	1,200*	425*	0.12	0.33	7.0	140.*	280.
23	600	920*	325*	0.07	0.2	6.3	20.*	40.
24	585	30*	11*	>1.	>1.	5.4	7.*	14.
27	626	270*	95*	0.02	0.05	5.6	20.*	40.
28	655	220*	78*	0.03	0.08	5.6	20.*	40.
35	590	250*	89*	0.38	1.	6.6	34.*	68.

^{*} Berckhemer and Jacob [1968].

velocity of 2.5 km/sec, called τ_1 , and one assuming 3.53 km/sec, called τ_2 . The values given for η_{imax} and η_{max} in Table 3 suggest that for deep earthquakes the level of maximal seismic efficiency may be around 10% and that it is a function of magnitude. The dependence of efficiency on magnitude is shown by the plot of the apparent stress of deep earthquakes versus body wave magnitude in Figure 6a. It is very clear that the apparent stress of deep earthquakes is a function of magnitude. On the map of South America the deep earthquakes plot as two groups, triangles and circles in Figure 6a, epicenters 4 and 18 being somewhat apart from the others. Each group separately shows approximately the same dependence of apparent stress on magnitude. The hypocenters of each cluster are located very close to each other, and magnitude does not vary systematically with depth. The total stress can therefore be assumed to be roughly constant in each group. Under this assumption the efficiency must vary with magnitude. It may be significant that the earthquakes of the northernmost group display consistently the highest apparent stresses at all magnitudes, but at this point there are not enough data to make a convincing case for this regional difference. The straight line in Figure 6a therefore is an average least-squares fit to all data except one anomalous point, and the equation for it is

$$\log (\eta \langle \sigma \rangle) = 0.8 m_b - 4.1 \begin{array}{c} 500 \text{ km} < h \\ 5.5 < m_b < 7.5 \end{array}$$
 (7)

If the average stress is assumed to be a con-

stant, the slope of 0.8 indicates the variation deficiency with magnitude is

$$\log \eta = 0.8 m_b + c$$

To fit a straight line to the data in Figure 66 is a crude approximation. It implies that earthquakes of sufficient size, say around $m_b = 8.4$ will be 100% efficient. This is impossible, and a curve decreasing in slope with increasing magnitude would be a more realistic approximation.

The above results are valid only for deep shocks. Intermediate-depth earthquakes more difficult to analyze because the apparent stress increases rapidly with depth. The for intermediate shocks are plotted in Figure 6b. Earthquakes located in the anomalous gion around 20°S are omitted. The range magnitudes covered is not large enough to rant definite conclusions, but the efficiency intermediate earthquakes may well be a street function of magnitude. The dislocation area two shocks at intermediate depth were mined by the method of Berckhemer and Jan [1968], and the stress drops, as well 33 📆 maximal efficiencies, were estimated (Table The maximal efficiencies of these earthquaint at intermediate depth are approximately same as those for deep earthquakes.

The apparent stresses of shallow shown analyzed in this study do not vary significant with magnitude. This observation is in ment with the study by King [1969], who for a very small dependence of efficiency on nitude for shallow shocks.

The dependence of apparent stres magnitude could be caused by two thing the physics of the source or a system: in the analysis due to overestimation of underestimation of energy for small The seismic moment as an error sou efely be discarded. The seismic energ ever, could indeed be systematically un mated for small shocks. In determining considered periods only down to 1 the largest events the periods with the energy contribution are included in this i For small shocks the waves with periods tan 1 sec carry a larger fraction of t bergy than those neglected periods do f **bocks**. Comparison of $E_p(1)$ with the **lerg** energy E_{σ} (Table 2) shows that parrected for this effect. Yet it may correction is not large enough. H mency recordings of waves propagating **ligh** Q zone under island arcs, as report Ohiver and Isacks [1967], may furni wer to this problem. Until more of cudies are completed, the energy under ion cannot be ruled out as a possible sys MITOT.

Earthquakes at great depth could be ited with dehydration of hydrous n Raleigh and Paterson, 1965; Isacks [68] or with melt on the shear plane [6]1960; Griggs and Baker, 1969; Savage, the latter case a very simple physical tion for the varying efficiency cou ered. With increasing magnitude the a melt and the amount of energy lost by increase in rough proportion to the the earthquake dimensions. The amo able elastic energy, however, increase third power of the earthquake dime would mean that for large shocks a s portion of energy disappears into hea ciency consequently increases with incr mitude.

nogeneous, the apparent average stress malized to magnitude 7.0. It was ass (7) holds not only for deep but alternediate earthquakes, and all shocks pocenter below 45 km were normalized ing to (7). For shallow shocks no considered necessary. The resum in Figure 7. The symbols are the

Dislocation Area

F_1 ,	F_2 ,
km^2	km^2
3.	6.
20.	4 0.
140.*	280.*
20.*	40.*
7.*	14.*
20.*	40.*
20.*	40.*
34.*	68.*

es the variation of

+ c

data in Figure 6d mplies that earth-around $m_b = 8.0$, is impossible, and with increasing realistic approxi-

lid only for deep earthquakes are ause the apparent depth. The data plotted in Figure the anomalous reed. The range of ge enough to wart the efficiency of y well be a strong dislocation area of depth were deter-:khemer and Jacob os, as well as the timated (Table 3). these earthquake approximately the iquakes.

of shallow shocks t vary significants vation is in agree [1969], who found efficiency on man

The dependence of apparent stress versus magnitude could be caused by two things, either the physics of the source or a systematic bias in the analysis due to overestimation of moment or underestimation of energy for small shocks. The seismic moment as an error source can safely be discarded. The seismic energy, however, could indeed be systematically underestimated for small shocks. In determining $E_n(1)$, we considered periods only down to 1 sec. For the largest events the periods with the main energy contribution are included in this interval. For small shocks the waves with periods shorter than 1 sec carry a larger fraction of the total energy than those neglected periods do for large shocks. Comparison of $E_p(1)$ with the Gutenberg energy E_{σ} (Table 2) shows that E_{σ} is corrected for this effect. Yet it may be that the correction is not large enough. High-frequency recordings of waves propagating up the high Q zone under island arcs, as reported by Oliver and Isacks [1967], may furnish the answer to this problem. Until more detailed studies are completed, the energy underestimation cannot be ruled out as a possible systematic

Earthquakes at great depth could be associated with dehydration of hydrous minerals [Raleigh and Paterson, 1965; Isacks et al., 1968] or with melt on the shear plane [Orowan, 1960; Griggs and Baker, 1969; Savage, 1969]. In the latter case a very simple physical explamation for the varying efficiency could be Mered. With increasing magnitude the amount of melt and the amount of energy lost by meltincrease in rough proportion to the square the earthquake dimensions. The amount of vailable elastic energy, however, increases with third power of the earthquake dimensions. his would mean that for large shocks a smaller proportion of energy disappears into heat. The ency consequently increases with increasing

To make the data presented in Figure 3 more mogeneous, the apparent average stress was similared to magnitude 7.0. It was assumed that (7) holds not only for deep but also for termediate earthquakes, and all shocks with pocenter below 45 km were normalized acting to (7). For shallow shocks no correction was considered necessary. The result is in Figure 7. The symbols are the same

as in Figures 2 and 3. The general pattern of Figures 2 and 3 is preserved and the scatter is reduced. The averages of the normalized apparent stresses are 18 bars at $h \leq 45$ km, 380 bars at 45 km $< h \leq 125$ km, and 44 bars at 450 km < h < 660 km. These values apply for a magnitude 7.0 event for which at all depths the efficiency is estimated to be less than 0.1. If the stress drops almost to zero, the efficiency will be close to 0.1.

The apparent strain is the energy density in the source region. The fact that an earthquake of a given magnitude has larger strains around 100 km depth than at the surface implies that the source dimensions at 100 km depth are much smaller. The dislocation areas were roughly estimated by the method of Berckhemer and Jacob [1968] for two shocks around 100 km

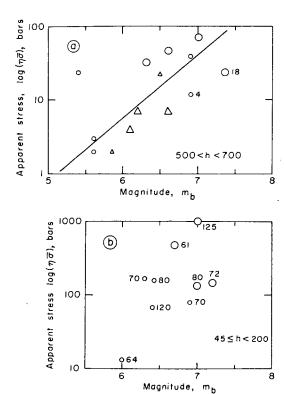


Fig. 6. Apparent stress as a function of body wave magnitude. (a) For deep shocks. Triangles indicate earthquakes located around 27°S; circles represent earthquakes between 8° and 15°S. Large symbols again indicate reliable solutions, small symbols less reliable ones. Numbers near two circles are event numbers. (b) For intermediate earthquakes, numbers indicate depth in kilometers.

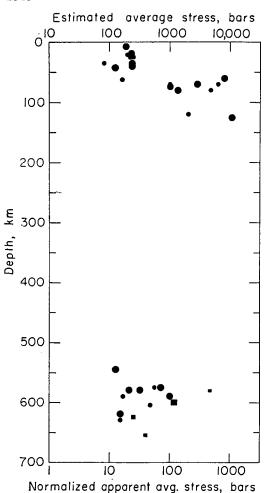


Fig. 7. The bottom scale gives the apparent stress normalized to $m_b = 7.0$. The top scale gives an approximate estimate for the total average stress. Same symbols as in Figure 2. Data outside typical seismic zone not shown.

depth. The fault dimensions of these magnitude 7.0 events are estimated to be between 1 and 6 km. These values are surprisingly small, but they agree with several observations listed by Iida [1959]. The high stresses correspond well to the breaking stresses of crustal rocks. The estimated stresses of 3 kb at 100 km depth also agree well with the pressure a sinking slab exerts on the lithosphere. McKenzie [1969] estimated density differences between the mantle and the descending slab as due to temperature differences and obtained a minimum estimate of 2.5 kb for the stresses that could be accumulated in the slab.

Comparison with other trenches. Oliver Isacks [1967] studied the high-frequency tent of S and P waves from deep earthqua in the Tonga-Fiji region on the records of le stations. They found that waves traveling the deep seismic zone were much less atte ated than waves traveling in the surround mantle. From these observations they conclude that cold lithospheric material was plununderneath the island are and remaining tively cold down to the depth of the dee earthquakes. A corollary is that low attenua for the deepest earthquakes can only be served if the descending slab of lithospher continuous and has the high Q property all a its length. From limited data it appears the other trench systems the apparent stresses increase rapidly with depth, reach a maximi and decrease again at greater depth. Street associated with earthquakes at intermed depths in the Tonga-Kermadec trench intermediate values between the high stre at 100 km depth and the low stresses are 600 km depth. This may indicate that in island are the material at intermediate de has intermediate values of strength.

Kasahara [1957] has estimated the solution volume of shallow and deep shocks in Japan Dividing the Gutenberg energy by the volume obtained energy density. This value components to

 $\eta(\epsilon_1^2 - \epsilon_2^2) = \eta(\epsilon_1 - \epsilon_2)(\epsilon_1 + \epsilon_2) = 2\eta$ and is not quite comparable to the appearance of th

If a ray should propagate down the rigid Q slab [Oliver and Isacks, 1967] and up as such rigid slab to a recording station, the frequencies would fail to be filtered in the velocity zone. This would lead to a recoverestimate of the energy and hence overestimate of the apparent average relative to earthquakes at greater depth. In study, therefore, care was taken not to corray paths propagating down or up a slab.

Ocean floor consumption. The two var

which control the strength of rocks in the re pressure and temperature. The cha destic properties of material present thosphere and mantle are small and elected, with one important exception chavior of serpentinite described by Paterson [1965]. Under confining p 5 kb and low temperature, serpentinite h strength. Above 300° to 500°C de n occurs and the serpentinite loses s ry rapidly. In general, increasing press ases the strength, increasing temperat esses it. In particular, at the depth -velocity channel, around 100 km, th rature-pressure conditions are such th aterial is very likely to be partly [Anderson and Sammis, 1969] and wil tremely low strength. In the zone of arthquakes, however, the present analy tates that the material around 100 k high strength. The hydrostatic press descending slab and the neighboring approximately the same. It is clear th peratures must be different.

This result supports the hypothesis of consumption. As the lithosphere p nward, the pressure increases instay. Because of the low heat conductiv material, the slab is heated very slow surrounding mantle. Simple models perature distribution in a descending cate that the slab can stay relatively respect to the surrounding mantle the depth of the deepest earthquakes sie, 1969]. Two lines of evidence in earthquakes deeper than about 60 k account for the slip of the descending respect to the adjacent mantle. slowed that the slip rate computed of the seismic moments for interme deep earthquakes is much too smal duded that creep along a weak bour the mantle and the lithospheric take place. In addition, Isacks et al. [leacks and Molnar [1969] have shown fault planes for intermediate and deep e are not parallel to the slip direction dab. They showed that, instead, the or the tension axis is parallel to the dresses released by earthquakes are str rated along the slab as it is pulle down into the mantle. The amou liver and which control the strength of rocks in the earth ncy conare pressure and temperature. The changes of thquakes elastic properties of material present in the s of local lithosphere and mantle are small and can be eling in neglected, with one important exception, the 3 attenubehavior of serpentinite described by Raleigh rounding and Paterson [1965]. Under confining pressure oncluded of 5 kb and low temperature, serpentinite shows plunging high strength. Above 300° to 500°C dehydraing relation occurs and the serpentinite loses strength deepest very rapidly. In general, increasing pressure inenuation creases the strength, increasing temperature de-· be obcreases it. In particular, at the depth of the sphere is low-velocity channel, around 100 km, the temall along perature-pressure conditions are such that the s that in material is very likely to be partly melted eses also [Anderson and Sammis, 1969] and will have aximum. extremely low strength. In the zone of deep Stresses earthquakes, however, the present analysis inrmediate dicates that the material around 100 km has ch have very high strength. The hydrostatic pressure in stre-sethe descending slab and the neighboring mantle : around is approximately the same. It is clear that the t in the temperatures must be different. e depth

3 SOUTH

i Japan

volume

e corre-

27 Jeie

pparent

though

linieres:

id deep

vith 🧺

it, how-

h range

rid bigh

ine bri

he 🥰

he ow

relative

, to 2

) 200°°

In

your 2"

31:45

b.

This result supports the hypothesis of ocean floor consumption. As the lithosphere plunges downward, the pressure increases instantaneously. Because of the low heat conductivity of the material, the slab is heated very slowly by the surrounding mantle. Simple models of the emperature distribution in a descending slab Adicate that the slab can stay relatively cool with respect to the surrounding mantle down to the depth of the deepest earthquakes [Mc-Kenzie, 1969]. Two lines of evidence indicate that earthquakes deeper than about 60 km do account for the slip of the descending slab with respect to the adjacent mantle. Brune [1968] showed that the slip rate computed from the sum of the seismic moments for intermediate and deep earthquakes is much too small. He "neluded that creep along a weak boundary tween the mantle and the lithospheric slab sust take place. In addition, Isacks et al. [1968] Isacks and Molnar [1969] have shown that fault planes for intermediate and deep earth-Takes are not parallel to the slip direction of slab. They showed that, instead, the presor the tension axis is parallel to the slab. stresses released by earthquakes are stresses ropagated along the slab as it is pulled or thed down into the mantle. The amount of

stress the material in the slab is able to accumulate before it breaks is only a function of the local strength of material in the slab. The present results suggest that, as the slab plunges down, the strength of the lithosphere increases first, and when the increase in temperature becomes appreciable, around 150 km, weakening starts. The strength then decreases until at depths around 600 km the strength is almost as small as the strength at the surface. At still greater depth the slab material can no longer support stresses large enough to produce earthquakes, and it blends into the rest of the mantle. The South American slab is demonstrated to be in a thermal steady state along its length. The length of this slab is not determined by the age of the present consumption site or by a change in mantle properties at this depth but is a function only of the temperature and pressure conditions in the mantle and the local consumption rate. It will be important to determine, in island arcs where the seismic activity is continuous from the surface to great depths, whether the decrease in strength is sudden or gradual, that is, whether the mechanism of brittle fracture proposed by Raleigh and Paterson [1965] is applicable.

It is now very interesting to determine the stresses associated with the lower terminating earthquakes in trench systems where the deepest activity occurs at intermediate depth. If such earthquakes indicate low strength, i.e., apparent stresses of the order of 20 to 50 bars, one can conclude the slab is heated at its lower termination to the extent that it cannot support stresses and blends with the mantle. This conclusion implies that the slab has reached a thermal steady state, and its short length must be due to a slow consumption rate. If, on the other hand, such lower terminating earthquakes of intermediate depth yield intermediate to high values of strength, i.e, apparent stresses between 100 and 1000 bars, the slab would not be heated enough to terminate the earthquake activity. In this case the shortness of the slab would have to be a function of the time during which the trench was active. The shorter the slab with relatively high-stress bottom, the younger the consuming system.

In the South American slab there is an anomalous region between 13° and 19°S. In this part the deepest earthquakes large enough to yield

fault plane solutions and moment determinations occur at depths of about 120 km, and deep earthquakes do not occur at all [Barazangi and Dorman, 1969]. The stress analysis of this region showed that shocks around 100 km depth have anomalously low stresses that are comparable to the stresses of very deep earthquakes in the other parts of the descending slab. These events are marked by full circles with a superimposed cross in Figures 2, 3, and 4. The absence of deep-focus earthquakes, together with the low strength of the slab at 100 km depth, suggests that this part of the slab may be heated faster than any other part. Seismicity off the coast of South America indicates only one rigid plate between the equator and 40°S. The consumption rate therefore cannot drop and increase again from north to south along the trench. The marked change in direction of the trench around 17°S may be interpreted as the meeting point of two trenches, the convex Peruvian trench and the straight Chilean trench. The descending slab may be broken up in this discontinuous region. The surrounding mantle may then partially penetrate the slab material, which would be heated far more efficiently than an ordinary portion of the slab. The stresses at intermediate depth would then indicate low strength, and stresses for deep earthquakes will not accumulate.

Conclusions

An attempt was made to determine the total stress in the source region of earthquakes in the South American seismic zone. It is impossible to obtain rigorously the total shear stress causing earthquakes because the seismic efficiency cannot be known without additional measurements in the source region. What can be determined is the apparent stress, the product of average stress and seismic efficiency. A comparison of the maximal seismic efficiency at different depths allows the conclusion that the variations with depth of apparent stress closely reflect variations of total stress. It was demonstrated that variations of more than an order of magnitude exist between the ratios of short-period to longperiod waves radiated by earthquakes at different depths. From this ratio the apparent average stress in the source region was obtained. The apparent average stresses reach a maximum around 100 km depth. The mean value for a magnitude 7.0 earthquake at this depth is 380 bars, an order of magnitude larger than the values at shallow and 600 km depth.

Under the assumption of a rupture velocity at the source, the stress drop associated with deep earthquakes can be obtained. The stress drops at intermediate depth are approximately an order of magnitude higher than those at shallow and great depths. From a comparison of stress drop with apparent stress an upper bound can be put on the seismic efficiency. The seismic efficiency defined at teleseismic distance is of the order of 10% and does not vary significantly with depth.

On these grounds the total shear stresses associated with earthquakes are estimated to be at least 200 bars between the surface and 40 km, 3 kb around 100 km depth, and 440 bars at 600 km depth. Changes of the average stress reflect changes of the strength of the earthquake zone as a function of depth. The strength increases with increasing depth and reaches : maximum at about 100 km. At greater depts the strength decreases again until at 600 km # reaches about the same value as at the surface This pattern is believed to be an expression of the temperature-pressure conditions in a slip of lithosphere which is plunging into the mantle With increasing pressure the strength increase until the slab is heated enough to be progressively weakened by increasing temperature. At depths below about 650 km the South Americas slab becomes so weak that no earthquakes as occur. The apparent average stresses are a good test for the nature of the bottom cutoff of st island arc. If the deepest earthquakes in a give island are indicate high stresses, the island str is not in thermal steady state; i.e., it is your If the deepest earthquakes indicate stress comparable to those at zero depth, the island arc is in thermal steady state.

The concave bend in the South Americal trench around latitude 17°S is associated with a discontinuity of deep seismic activity and with low stresses at 100 km depth. The South American trench should perhaps be viewed as trenches, the Chilean and the Peruvian, meeting at 17°S.

The high stresses and strains between 45 ³⁷ 120 km depth indicate high-energy density the source region. A comparatively small ¹⁷ ume is needed to produce an earthquake of

given size. At these depths the fault of a magnitude 7.0 event are estimate from 1 to 10 km.

Acknowledgments. I am indebted Brune, who had continuous interest in and provided very helpful suggestions cism in numerous discussions. Thanks given to J. N. Brune, D. P. McKenzie, Archambeau for critically reading the number of the making helpful suggestions. I am lebted to B. R. Julian and D. L. Ande kindly furnished the program which trays back to the source.

This study was supported by Nationa Foundation grant GA 11332.

REFERENCES

Aki, K.. Generation and propagation of from the Niigata earthquake of June 2. Estimation of earthquake moment, energy, and stress strain drop from the spectrum, Bull. Earthquake Res. Inst. Univ., 44, 73-88, 1966.

Anderson, Don L., Ari Ben-Menahem, and B. Archambeau, Attenuation of seismic in the upper mantle, J. Geophys. Res., 7 1448, 1965.

Anderson, Don L., and C. G. Sammis, melting in the upper mantle, *Phys. Planet. Interiors*, in press, 1969.

Archambeau, Charles B., Elastodynamic theory, Ph.D. thesis, California Instit

Noc. Am., 59, 369-380, 1969.

Ben-Menahem, Ari, Observed attenuation values of seismic surface waves in the matle, J. Geophys. Res., 70, 4641-4651, len-Menahem, Ari, and D. G. Harkrider, tion patterns of seismic surface waves buried dipolar point sources in a flat strarth, J. Geophys. Res., 59, 2605-2620, 19 and Menahem, Ari, S. W. Smith, and Tareng, A procedure for source studies from

rums of long-period seismic body waves, seismol. Soc. Am., 55, 203-235, 1965.

Ankhemer, H., and K. H. Jacob, Investig of the dynamical process in earthquake for inalyzing the pulse shape of body waves, in Rept., Contract AF61(052)-801, 85 pp., alter of Meteorology and Geophysics, University of Frankfurt, Germany, 1968.

ne, J. N., Seismic moment, seismicity, ate of slip along major fault zones, J. Geon Res., 73, 777-784, 1968.

nne, J. N., and Chi-Yu King, Excitation and Rayleigh waves of period 100 sec function of magnitude, Bull. Seismol. Soc. . 1355-1366, 1967.

A STATE OF THE STA

7

this depth is 350 e larger than the m depth.

a rupture velocity op associated with stained. The stress are approximately her than those at rom a comparison it stress an upper mic efficiency. The elescismic distance does not vary sig-

otal shear stresses are estimated to en the surface and lepth, and 440 bars i the average stres igth of the earthlepth. The strength pth and reaches : . At greater dept. until at 600 km ? e as at the surface be an expression & onditions in a sling into the mante : strength increasugh to be progreng temperature. 3 the South America no earthquakes 😅 stresses are a gov ottom cutoff of # thquakes in a give sses, the island in te; i.e., it is your s indicate stress o depth, the islan

e South America is associated was ic activity and we . The South And be viewed as '* e Peruvian, mech

ins between 45 = a-energy density ratively small " an earthquake 3

given size. At these depths the fault dimensions of a magnitude 7.0 event are estimated to range from 1 to 10 km.

Acknowledgments. I am indebted to J. N. Brune, who had continuous interest in this work and provided very helpful suggestions and criticism in numerous discussions. Thanks are also given to J. N. Brune, D. P. McKenzie, and C. B. Archambeau for critically reading the manuscript and making helpful suggestions. I am also inlebted to B. R. Julian and D. L. Anderson, who kindly furnished the program which traced the rays back to the source.

This study was supported by National Science Foundation grant GA 11332.

REFERENCES

Aki, K., Generation and propagation of G waves from the Niigata earthquake of June 16, 1964, 2, Estimation of earthquake moment, released energy, and stress strain drop from the G wave spectrum, Bull. Earthquake Res. Inst., Tokyo Univ., 44, 73-88, 1966.

Anderson, Don L., Ari Ben-Menahem, and Charles B. Archambeau, Attenuation of seismic energy in the upper mantle, J. Geophys. Res., 70, 1441-

1448, 1965.

Anderson, Don L., and C. G. Sammis, Partial melting in the upper mantle, Phys. Earth Planet. Interiors, in press, 1969.

Imhambeau, Charles B., Elastodynamic source theory, Ph.D. thesis, California Institute of

Technology, Pasadena, 1964.

Burazangi, G., and T. Dorman, World seismicity maps compiled from ESSA, Coast and Geodetic Survey, epicenter data 1961-1967, Bull. Seismol. Soc. Am., 59, 369-380, 1969.

3-n-Menahem, Ari, Observed attenuation and Q values of seismic surface waves in the upper mantle, J. Geophys. Res., 70, 4641-4651, 1965.

3-n-Menahem, Ari, and D. G. Harkrider, Radiaion patterns of seismic surface waves from buried dipolar point sources in a flat stratified rarth, J. Geophys. Res., 59, 2605-2620, 1964.

a-Menahem, Ari, S. W. Smith, and Ta-Liang Teng, A procedure for source studies from specrums of long-period seismic body waves, Bull.

rismol. Soc. Am., 55, 203-235, 1965. A the dynamical process in earthquake foci by inalyzing the pulse shape of body waves, Final Rept., Contract AF61(052)-801, 85 pp., Instiof Meteorology and Geophysics, University ! Frankfurt, Germany, 1968.

J. N., Seismic moment, seismicity, and of slip along major fault zones, J. Geophys. 73, 73, 777-784, 1968.

frae, J. N., and Chi-Yu King, Excitations of antle Rayleigh waves of period 100 sec as a retion of magnitude, Bull. Seismol. Soc. Am., ₹, 1355–1366, 1967.

Bullen, K. E., An Introduction to the Theory of Seismology, Cambridge University Press, England, 1963.

Griggs, D. T., and D. W. Baker, The origin of deep-focus earthquakes, in Properties of Matter, p. 11, John Wiley & Sons, New York, 1969.

Gutenberg, B., and C. F. Richter, Magnitude and energy of earthquakes, Ann. Geofis., 9, 1-15, 1956.

Haskell, N., Total energy and energy spectral density of elastic wave radiation from propagating faults, Bull. Seismol. Soc. Am., 54, 1811-1841, 1964.

Iida, Kumizi, Earthquake energy and earthquake fault, J. Earth Sci., Nagoya Univ., 7, 98-107,

Isacks, B., and Peter Molnar, Focal mechanisms of deep and intermediate depth earthquakes and the dynamics of the lithosphere (abstract), EOS, Trans. Am. Geophys. Union, 50, 2381,

Isacks, B., J. Oliver, and L. R. Sykes, Seismology and the new global tectonics, J. Geophys. Res., 73, 5855-5899, 1968.

Julian, B. R., and D. L. Anderson, Travel times, apparent velocities and amplitudes of body waves, Bull. Seismol. Soc. Am., 58, 339-366,

Kasahara, K., The nature of seismic origins as inferred from seismological and geodetic observations, Bull. Earthquake Res. Inst., Tokyo Univ., 35 473-532, 1957.

Keilis-Borok, V. I., Investigation of the Mechanism of Earthquakes, Soviet Research in Geophysics, vol. 4, American Geophysical Union and Consultants Bureau Enterprises, New York,

Khattrie, K. N., Focal mechanism of the Brazil deep focus earthquake of November 3, 1965, from the amplitude spectra of isolated P waves, Bull. Seismol. Soc. Am., 59, 691-704, 1969.

King, Chi-Yu, Seismic efficiency, J. Geophys. Res., 74, 1702-1703, 1969.

Maruyama, T., On the force equivalent of dynamic elastic dislocations with reference to the earthquake mechanism, Bull. Earthquake Res. Inst., Tokyo Univ., 41, 464-486, 1963.

McKenzie, Dan P., Speculations on the consequences and causes of plate motions, Geophys. J., 18, 1-32, 1969.

Oliver, Jack, and Bryan Isacks, Deep earthquake zones, anomalous structures in the upper mantle, and the lithosphere, J. Geophys. Res., 72, 4259-4275, 1967.

Orowan, E., Mechanism of seismic faulting in rock deformation, A Symposium, Geol. Soc. Am. Mem., 79, 323-345, 1960.

Raleigh, C. B., and M. S. Paterson, Experimental deformation of serpentinite and its tectonic implications, J. Geophys. Res., 70, 3965-3985,

Savage, J. C., A possible explanation of the orientation of fault planes for deep-focus earthquakes (abstract), Annual meeting of the Seismological Society of America, 1969.

Stauder, W., Focal mechanisms for South American earthquakes, in preparation, 1970.

Stauder, W., and G. A. Bollinger, The S-wave project for focal mechanism studies: earth-quakes of 1962, Bull. Seismol. Soc. Am., 54, 2199-2208, 1964.

Stauder, W., and G. A. Bollinger, The S-wave project for focal mechanism studies. earthquakes of 1963, Bull. Seismol. Soc. Am., 56, 6, 1363-1371, 1966.

Wickens, A. T., and T. H. Hodgson, Computer re-evaluation of earthquake mechanism solutions 1922-1962, Publ. Dominion Obs., Ottawa 33, 1967.

Wu, Francis T., Lower limit of the total energy of earthquakes and partitioning of energy among seismic waves, Ph.D. thesis, California Institute of Technology, Pasadena, 1966.

Wyss, M., and J. N. Brune, Seismic moment stress, and source dimensions for earthquaker in the California-Nevada region, J. Geophy. Res., 73, 4681-4694, 1968.

(Received August 18, 1969.)

An Analysis o Sequence Us:

P. W. Basham, D.

Division of Seismology

The Nevada Test Site (NTS) expl tributed over the magnitude range upstudies of detection and identification shocks and other NTS explosions are the Canadian network ($12^{\circ} \leq \Delta \leq$ aftershocks larger than m 3.6, but not can detect Rayleigh waves for all afterspectral ratio discriminants produce esimilar body-wave magnitude NTS explosion in longitude of the Yellowknife differences in aftershock and explosion

Introduction

It has recently been found that large: cound nuclear explosions can influence simic activity near the shot point. I shown by Ryall et al. [1969] from a studenty-one Nevada Test Site explosions to thots larger than m 5.0 produce an incressimicity for at least a day following that Healy and Hamilton [1969] reported that activity continued for at least 3 dowing some of the largest explosions are stated to be caused by movement on preventions and at focal depths from surface to km.

The m 6.3 explosion Benham caused a satial number of aftershocks, many of the large enough to be recorded at telescontent from the test site. The U.S. Geodetic Survey (USCGS) 'Prelimitarination of Epicenters' lists fort handles for the period between the Bentime on December 19, 1968, and Jan 1969, with locations within a few tent in the satisfactory of the shot point. This series of the shot point. This series of the shot point is provided a unique opportunity to

Contribution from the Dominion Observ

Order of authors drawn by lot.

Fight © 1970 by the American Geophysical Ut